

Approved "APRICOT CAPITAL"  
CLOSED JOINT STOCK COMPANY  
Single Shareholder On 13 December 2023  
by decision No. 13-12-23  
Executive Director Vachik Gevorgyan



**APRICOT CAPITAL  
CLOSED JOINT STOCK COMPANY  
REGULATION  
ON BROKERAGE (DEALER) ACTIVITY**

**YEREVAN 2023**



## 1. GENERAL PROVISIONS

1.1. The regulations governing the organization of brokerage (dealer) activities of "APRICOT CAPITAL" CJSC (hereinafter referred to as the Company) (referred to as the Regulations) are formulated in accordance with the legislation that regulates the securities market of the Republic of Armenia, in particular the Law of the Republic of Armenia "On the Securities Market", and other normative legal acts adopted on its basis and in accordance with the Articles of Association of the Company.

1.2. The main concepts used in this Regulation are:

- 1) "Derivative financial instrument" refers to instruments outlined in Article 3, Part 3 of the RA Law on Securities Market.
- 2) "TP" (Trading Platform)" encompasses any regulated market, organization, system, or other means established for the facilitation of trading in financial instruments. It operates in accordance with specific rules, providing the necessary mechanisms for the execution of transactions involving the purchase and sale of financial instruments, along with subsequent settlement.
- 3) "Broker" Apricot Capital CJSC
- 4) "Brokerage service" Service provided by the Company under Articles 25 and 26 of the RA Law "On Securities Market".
- 5) "Brokerage account" An account opened with the Company under the name of the Customer, intended for the provision of brokerage services, wherein the financial assets of the Customer are recorded.
- 6) "Buy/Sell Stop Order" An instruction issued by the Customer to the Broker, directing the execution of a securities transaction (buy/sell), where the execution takes place at the prevailing market price (as market). In the event that the specified security's price on the relevant TP reaches the level indicated in the Order, the execution occurs. However, due to market fluctuations, the actual execution price at the time of execution may vary from the Order's specified price, potentially resulting in outcomes advantageous or disadvantageous to the Customer.

- 7) "Buy/sell limit order" An instruction from the Customer to the Broker, specifying the execution of a securities transaction (buy/sell) with the condition that the purchase price does not exceed the predetermined price in the Order or, in the case of a sale, that the selling price does not fall below the specified level.
- 8) "Buy/sell stop limit order" An instruction from the Customer to the Broker, comprising both a buy (sell) limit order at a one price and a buy (sell) stop order at another specified price. This order allows for execution exclusively on the relevant trading platform when the price of the specified security reaches the value indicated in the Order and, at the same, time contains:
  - When the customer makes a purchase, establish the maximum transaction price,
  - In the case of sales by the customer, the minimum transaction price.
- 9) "Agent" person, with whom the company engages and utilizes mediation services to provide brokerage services to the Customer.
- 10) "Transaction" A transaction involving the alienation and/or acquisition of a financial instrument which is conducted based on the order submitted by the Customer to the company, except in cases of the forced closing of positions opened in the brokerage account as stipulated by this regulation.
- 11) "Essential terms of the transaction" the type of securities and the issuer (or its identification code), the type of the transaction (purchase, sale of a security, a transaction for the exercise of the Customer's rights with a derivative, subscription order, repo, reverse repo), the volume of order execution (number of securities), and the unit of purchase/sale/execution price limits (if the order is not market).
- 12) "Dealer activity" Execution of securities transactions on behalf of the company and at the company's cost.
- 13) "Position or open position" The balance of the Customer's claims and obligations for each Transaction, expressed in currency or securities, which is not equal to zero.
- 14) "Short sell" Selling financial instruments without owning them.

- 15) “Central Bank” Central Bank of the Republic of Armenia.
- 16) “Message” any information delivery, exchange, notification, submission of the Order, which takes place within the framework of the Agreement concluded between the Customer and the Company.
- 17) “Customer” A person who has signed an agreement with the company for the provision of brokerage services.
- 18) “System” A Software package (security) that is an electronic (network, internet) tool enabling the conclusion of transactions, with an appropriate interface, providing access to which serves as a technical mechanism for delivering services to Customers. Through this tool, Customers can independently submit orders for transaction execution.
- 19) “Margin” When performing a short sale of financial instruments or purchasing financial instruments without adequate coverage, the ratio of the Customer's own funds to the total transaction amount, expressed as a percentage.
- 20) Initial margin The margin amount required to open a position in a financial transaction.
- 21) Minimum margin` margin quantity required to maintain the current position.
- 22) Assets currency, securities, and/or derivative financial instruments provided by the Customer to the Company. These funds are intended to ensure the execution and conclusive settlement of the Customer's order or may have originated (been received) as a consequence of order execution. Additionally, this term encompasses any income derived from these funds and/or securities.
- 23) “Interested person” The general director of the company, the deputy general director or the head and member of another similar body, the employees performing activities of providing investment services on behalf of the company.
- 24) “Transaction with insufficient coverage (Margin)” Transactions executed and transferred by the Broker on behalf of the Customer, following the Customer's instructions, including transactions for which the Broker

accepts the order from the Customer, reports it to third parties for execution (including through any System), regarding which at the time of submitting the relevant order, the Customer's account lacks the necessary funds to fully meet obligations. And funds are either conditionally or actually provided to the Customer by the Broker or Agents, enabling the execution of the respective transaction.

- 25) "Regulation on Custody" The Company's Regulation on Provision of Custodial Services, which is an integral part of the Agreement.
- 26) "Agreement" The Agreement for the Provision of Brokerage Services and Maintenance of Brokerage Accounts, established between the Customer and the Company, which includes these Regulations, Tariffs, and Custody Regulations as integral components.
- 27) "Order/Instruction" A document, electronic or voice message submitted by the Customer to the Company and serving as a basis for execution by the Company at the expense of the Customer's Funds or transmission by the Company to third parties, the purpose of which is the alienation, acquisition or transfer of a Financial Instrument by the Customer.
- 28) "Tariffs" The fees for brokerage services, constituting an integral part of the Agreement and/or any special rates mutually agreed upon with the Customer, if applicable.
- 29) "Settlement" Fulfillment of mutual obligations, which is defined by the current terms of conclusion of the Transaction.
- 30) "Law" "The Law on Securities market" of the Republic of Armenia
- 31) "Fiduciary duty" The duty of the Company to act in the best interest of the Customer, exercising good faith and reasonableness.
- 32) "Financial instrument" Any financial asset traded in the RA financial market and/or foreign financial markets, including securities (bonds, shares, units, depositary receipts, etc.), money market instruments, derivatives, currency, precious metals, etc.
- 33) "Open Account Module" The "Open Account" module of the "Apricot Capital" CJSC website, through which the conclusion of the Agreement between the Customer and the Broker, the opening of Brokerage and other

accounts that comply with the Agreement and/or the Regulations are carried out.

34) "My account module" The "My account" module of the "Apricot Capital" CJSC website, through which submission and execution of orders, reports and / or submission of orders submitted by the Customer, completed transactions and other information, as well as the communication and exchange of messages/information between Customer and broker are carried out.

1.3. Concepts used in the Regulations and not mentioned in Clause 1.1 of the Regulations have the meaning defined in the Law and other legislative acts adopted on its basis. In instances where there is no specific definition available, the interpretation of these concepts aligns with international business customs, unless something else clearly follows from the private case of applying a specific concept.

1.4. Unless otherwise clearly follows from the specific context of any provision of these Regulations, the principles of interpretation of their provisions are as follows:

1.4.1. A mention, citation, or reference to any document (including, but not limited to, the Agreement, Regulations, Tariffs) implies acknowledgment of the current version of that document, encompassing all amendments and additions, unless explicitly stated otherwise in the reference, citation, or mention.

1.4.2. Unless otherwise indicated, all references to individual clauses pertain to clauses within the Regulations.

1.4.3. The names of the regulation's chapters are indicative and do not impact the interpretation of their content.

1.4.4. Concepts defined in the singular number denote the plural number of the same, and vice versa, unless the specific application of the concept dictates otherwise.

1.4.5. A reference to any person also includes the person replacing them from time to time, their representative, and/or successor.

1.4.6. The use of the terms "this Agreement" and "Agreement" pertains to the Agreement, including the Regulations, Custodial Regulations, and Tariffs.

1.4.7. The terms "hereby," "herein," "hereunder," and similar expressions used in the Regulations refer to the clause containing the respective provision.

## 2. GENERAL PROVISIONS

- 2.1. The chief executive of the company has the authority to establish a standardized form of the Agreement through their decision.
- 2.2. The Agreement (including the Regulations, Custodial Regulations and Tariffs) is a public offer (offer), which is considered to be accepted by the Customer:
- 2.2.1. upon signing or sealing of the Agreement by the Customer, using the template defined by the company. The Customer's signature must be certified by the Company, which can be achieved by signing the contract in the presence of a Company representative or in accordance with the internal procedure of the Company titled "Establishment of business relations without face-to-face contact".
- 2.2.2. from the moment the Customer signs it on the "Apricot Capital" CJSC website using the Open Account module, in the form specified by the Company, and upon receiving confirmation of the Contract's conclusion from the Broker.
- 2.3. The conclusion of the contract and subsequent customer service are carried out in accordance with the procedures established by the Company's "Anti-Money Laundering and Terrorist Financing" Regulations.
- 2.4. Upon the conclusion of the contract, the Broker commits to delivering the following services to the Customer in accordance with the procedure and conditions outlined in the Regulations, for the fee specified in the Tariffs (hereinafter referred to individually and collectively as "Services"):
- 2.4.1. Securities brokerage services, including those in the derivatives market, in particular:
- 1) Acceptance of the Customer's instructions regarding transactions with securities at the latter's expense and transferring them to other persons,
  - 2) On the basis of the Customer's instructions, at the Customer's expense, but on his own behalf, performing transactions with securities,
  - 3) Conclusion of securities transactions based on the Customer's instructions at the latter's account and on behalf of the latter, moreover, the Broker concludes transactions on behalf of the Customer in cases where, in the Broker's opinion, concluding a transaction on behalf of the Customer

- provides the latter with the most favorable conditions and is permitted by the trading system ( hereinafter referred to as TS) rules.
- 2.4.2. Services specified in section 2.2.1 of the Regulations with insufficient coverage (margin) that are subject to approval by the Broker and shall be conducted in accordance with the prescribed procedure.
- 2.4.3. Custodial services and operations related to the provision of Services.
- 2.4.4. The execution of the final settlement necessary for the provision of services and the conclusion of transactions involves transferring assets from the Customer's account and depositing them into the account. This process includes providing or exchanging the required currency for the final settlement, as stipulated in the Regulations, and following the specified conditions and procedures.
- 2.4.5. Providing reports to the Customer regarding the Services rendered which include details on transactions conducted, as well as the movement of assets within transactions executed by other parties with instructions accepted and transferred from the Customer.
- 2.4.6. Other services/functions provided/performed by the Broker in accordance with RA legislation and/or regulations adopted by the RA Central Bank
- 2.5. Upon signing the contract, a Brokerage account is opened for the Customer. The information about the opening of the brokerage account is presented to the Customer through a reliable means of transmission of the information specified by the Customer during the conclusion of the Agreement.
- 2.6. While upholding its fiduciary responsibilities to the Customer, the Broker is authorized to use the services of third parties without the Customer's consent when providing services under this Agreement. In order to fulfill the Customer's Instructions, the Broker has the right to involve other organizations, including persons specialized in the securities market, as well as to use the services and PCs provided by other organizations in the international and local markets, if the Customer's instruction does not specify the specific intermediary with whom The broker has established a contractual relationship.
- 2.7. The Company is guided by the requirements of the Company's "Conflict of Interest Exclusion and Prevention" Regulation in cases of conflicts of interest arising while



providing brokerage services to Customers.

### 3. WARRANTIES AND REPRESENTATIONS

3.1. Within the framework of the Agreement and these Regulations, the Broker assures that:

- 3.1.1. It is an entity possessing the requisite legal capacity and authorization to enter into a contract with the Customer.
- 3.1.2. All licenses and permits necessary for the provision of brokerage services by the Broker are available,
- 3.1.3. The persons signing the Agreement on behalf of the Broker are authorized to sign it and thus create, modify and terminate rights and obligations arising from the Agreement for the Broker.

3.2. By signing the contract, the Customer confirms and assures the following:

- 3.2.1. In accordance with the legislation of the country of their registration (citizenship) or personal law, the customer is an individual possessing the necessary legal capacity and is authorized to execute the Agreement, as well as assume rights and obligations on their behalf.
- 3.2.2. The person signing the contract is authorized to sign/confirm it on behalf of the Customer in accordance with the applicable legislation and the Customer's charter and internal legal acts (in the case of a legal entity), as well as to create, modify and terminate legally binding rights and obligations for the Customer with such signature/confirmation.
- 3.2.3. The securities and funds provided by the Customer to the Broker for transaction purposes under the Agreement are free from any encumbrances by third parties, have been acquired through legal means, and have no connection to money laundering, terrorist financing, drug sales, human trafficking, tax evasion, or other criminal activities. The Customer agrees that the Broker will undertake all necessary actions as required by anti-money laundering and anti-terrorist financing legislation, as well as internal legal regulations of the Broker. The Broker will only open/activate the specified account(s) in the absence of any grounds prohibiting such action.

- 3.2.4. Fees for the Services provided to them under the Agreement/Regulations and other costs incurred by the Broker for providing the services shall be charged by the Broker in an accepted manner from the Brokerage account, from the accounts opened and serviced by the Broker, as well as from other bank accounts of the Customer.
- 3.2.5. Before concluding/confirming the Agreement, they have familiarized /will familiarize themselves with the content and conditions of the Agreement, Regulations and Tariffs, with the content of any Transactions and Instructions for the acquisition/disposal/disposal of securities presented/presented by them within the framework of the Agreement or in fulfillment of the Agreement, from the Operations and Instructions presented by them each represents that it is aware of and possesses complete information necessary to make decisions regarding the submission of Transactions and Orders and/or the securities referred to therein, including but not limited to the prospectus, terms of the offer, restrictions and requirements of such securities, and complies with Any and all requirements for submission of orders/acquisition/disposal and/or disposal of securities.

#### **4. PROCEDURE FOR SUBMISSION OF ORDERS AND EXECUTION OF TRANSACTIONS**

- 4.1. The company processes customer orders or refers them to third parties for execution only in cases where there are sufficient Funds in the Customer's Brokerage account for the final settlement of the transaction specified in those Orders and for the repayment of the Customer's obligations to the Company, except for the cases when execution is performed or reported for execution /transmitted Margin transaction conclusion order.
- 4.2. To conclude a transaction, the Customer submits an Instruction to the Broker, which must contain the essential terms of the Transaction. The Order also indicates the type of Order (market, limit, stop limit, etc.), as well as the terms of validity (to be executed) of the Order, expressed in days or until revocation. If the order type is not specified, it is considered a Market order. If the validity period of the order is not specified, it is valid until the end of the first trading session started at the time of submitting the Order for execution of the transaction or after that.

- 4.3. The basis for the conclusion of Transactions with the Customer's funds is the paper filled in accordance with the form of the Instruction specified in Appendix 1 of this Regulation, as well as the Instructions containing the essential terms of the Transaction, presented to the Broker by e-mail, via an electronic communication network or by telephone, or submitted in the My Account module, specified in the Agreement.
- 4.4. When choosing to submit an Order by e-mail, the Customer acknowledges that, taking into account technical and other circumstances independent of the Broker, it is possible that the sent Order will not reach the Broker, will reach him late, will be sent by unauthorized third parties or will be known to them by other means, including network failures, unauthorized network accesses, unauthorized use of passwords and other means and methods. The Parties hereby agree that the Broker shall in no case be responsible for the damages suffered by the Customer as a result of the events mentioned in this clause, and the Instruction received from the Customer's e-mail address specified in the Agreement shall be considered by the Broker as an Instruction duly received from the Customer.
- 4.5. If the Customer submits an instruction via electronic communication, confirmation of the instruction's receipt by the broker can be achieved through a telephone call. During this call, an authorized employee of the broker engages in a conversation with the Customer, confirming or denying, within the same dialogue, the acceptance of the electronic instruction to execute a transaction based on the essential terms provided by the Customer. The Customer can also verify this confirmation through the relevant email operator if they choose a notification mode that corresponds to the receipt of emails sent by them. However the broker does not bear responsibility for the authenticity of the Customer-sent emails.
- 4.6. Orders transmitted over the telephone are recorded by the Broker and the Customer hereby consents to the recording of his communications with the Broker. When accepting an order by phone, the authorized employee of the Broker has the right to ask the latter the necessary questions in order to verify the identity of the person contacting him, including asking the individual account number provided to the Customer, the validity conditions of the Agreement and other questions necessary for the identification of the Customer, and to accept the Order only if based on the answers received, the Customer is identified.

- 4.7. When submitting the Order sent by phone, the Customer pronounces the conditions specified in clause 4.2, after which the authorized person of the Broker repeats them, and the Customer confirms the fact that the authorized representative of the Broker correctly understands the conditions of the Orderer by pronouncing the word "I confirm".
- 4.8. The Customer submits the Order in paper form to the Broker by hand delivery of two copies, one copy remains with the Broker, and the other copy is returned to the Customer with the marking "the order is accepted" and signed by the Broker.
- 4.9. The Customer undertakes to take all necessary measures, including changing the password provided by the Company's employee, and to exercise proper control in order to exclude unauthorized access by other person to their authorized e-mail addresses or other means of communication /communication methods/ and/or My Account module. Accordingly, the Broker is not responsible for all cases when the information about the Customer and/or their Orders became available to persons who do not have such authority and/or the Order or other message was provided on behalf of the Customer by persons who did not have such authority and was executed by the Broker and the Broker has taken the reasonable steps provided for in Clause 11.5 of the Regulations to verify the identity and identification of the person acting on behalf of the Customer, as well as to verify the competences. In all cases, all legal risks and consequences (including the risk of exceeding the limits of jurisdiction or authority) caused by unauthorized access or illegal access to the Customer's means of communication and/or the My Account module shall be borne by the Customer.
- 4.10. Acceptance of an order, execution of an accepted Order and/or delivery of an order is rejected if:
- 4.10.1. it was not submitted in the order, form and content specified in the terms,
  - 4.10.2. The company has serious doubts about the authority of the person presenting the Instruction to present it, as a result of performing the actions defined by the Agreement and these Regulations in order to establish the identity,
  - 4.10.3. Agents refuse to accept and/or execute the Order submitted by the relevant Customer, considering their inability to guarantee the conclusion/execution of transactions in specific markets and TSs.
  - 4.10.4. It has become objectively impossible for the company, for any reason beyond

- its control, to execute the Order or to report it for execution,
- 4.10.5. Prohibitions or restrictions are imposed or applied by the relevant TS or Agents that make the execution of the Order impossible.
- 4.11. In order to achieve a better overall result, the Company may consolidate a Customer Order or a transaction executed on its account with an order of another of its customers and execute them, if:
- 1) it does not provide a worse result for any customer than if his order were executed separately,
  - 2) it is generally in the interest of the Customer.
- 4.12. If the Company consolidates its customer's order with a transaction executed on behalf of Company, then in the case of partial satisfaction of the consolidated order, preference is given to the Customer, unless otherwise stipulated by the written agreement of the parties. In this case, the tariffs are calculated proportionally.
- 4.13. The Customer has the right to submit a Notice of revocation of the given Order. The message is accepted for execution, if the request or offer for conclusion of the Transaction has not yet been satisfied and/or accepted by the Company with this revocable Instruction. The notification of revocation of the order is considered accepted from the moment the Message reaches the Company. In case of conclusion of the Transaction in accordance with the Instruction during the period from the moment of receiving the Customer's revocation message until the Company confirms the withdrawal of the request for conclusion of the transaction from the relevant TS with the corresponding Order, the message about the withdrawal of the Order is not executed by the Company.
- 4.14. The Company has the right to refuse the execution of the accepted Order also in cases, if after accepting the Order it turns out that there are not enough funds in the Customer's accounts (except for Margin Transactions) to execute the Order and collect the fees set for the execution of that Order, moreover, the Company has the right to charge the Funds available in the Customer's Brokerage accounts in order to ensure the Final Settlement of the Transaction concluded on the basis of the Instruction issued by the latter, without notifying the Customer in advance. The order may not be executed even if the funds available in the Customer's accounts are encumbered by the rights of other persons or are blocked.

- 4.15. In case of refusal to execute the order, the Company immediately informs the Customer about it by e-mail, through the My Account module, the Company's phone application or by sending an appropriate notification by phone.
- 4.16. Depending on the type of market (exchange, over-the-counter, regulated or not) and location, the nature of the transaction, and the terms of execution, the director of the Company may set deadlines for the submission and withdrawal of the Order, which are subject to publication on the official website of the Company and in violation of which the message about submission of Order or withdrawal is not executed. The specified deadlines are an integral part of these Regulations and are binding on the parties.
- 4.17. If TSs or company agents encounter minimum quantity/volume restrictions for financial instruments bought/sold by one Order for concluding transactions, these limitations extend to customer orders as well. The company will inform customers about these restrictions before concluding transactions.
- 4.18. The Customer acknowledges that the range of Financial Instruments to be acquired by Orders, the volumes of Transactions, the deadlines for the submission of Orders are limited by the list of securities defined by the Company, the Agents and TSs ensuring the execution of said Transactions, the volume limitations (limits) and the time limits for the submission (execution) of Orders. Moreover, the Market order submitted after the end of the trading day and before the start of the next trading day in the given TS is subject to execution or transmission for execution only during the trading day defined by the rules of the given TS.
- 4.19. If a conflict arises between the interests of the company and the Customer, the company prioritizes the Customer's interests, guided by fiduciary duty.
- 4.20. The Customer may initiate a margin transaction order through email (with obligatory confirmation via a phone call), the My Account module, or a telephone application. So execute the aforementioned actions, the Customer may receive a loan of money or securities from the company, subject to the terms outlined in the contract signed with the company.
- 4.21. IF the Customer's own funds in the brokerage account fall below the required margin maintenance amount (initial margin), the company notifies the Customer via email and/or through the My Account module and/or telephone application to replenish the required margin maintenance amount in the brokerage account.

- 4.22. In the case of the sum of Financial instruments bought and sold by the Customer (long and short positions) in the latter's Brokerage account, if the Own Funds are less than the required minimum amount of margin (Minimum Margin), in order to satisfy the Customer's obligations, the Company reserves the right to close the Customer's open position by buying /selling the relevant Financial Instrument.
- 4.23. In the case of futures contracts providing for delivery, before the execution of the futures contract, the Broker has the right to close the Customer's position under the Futures contract without receiving an Instruction from the Customer. In order to close the futures position with the option specified in this point, the Customer is charged the fee set by the Tariffs for the transactions carried out under the given futures contract.
- 4.24. The purchase and sale of securities is carried out on the principle of "Delivery against payment" (DVP) or "Free delivery".
- 4.25. Orders submitted by the Company's customers are subject to priority execution over the Company's dealer orders.
- 4.26. Transactions in the regulated market are concluded and regulated in accordance with the Law, the normative legal acts adopted on its basis and the rules established by the operator of that market.
- 4.27. In the unregulated market, transactions are concluded and executed by the Company in accordance with the Law and the normative legal acts adopted on its basis.
- 4.28. The difference between the funds available in the brokerage accounts (to the extent that they are not encumbered by the accepted and/or executed Order, the rights of the Company or third parties) and the amounts payable to the Company according to the Agreement is the Company's indefinite obligation to the Customer.
- 4.29. Funds from brokerage accounts, aside from instances related to securing the final settlement of a transaction based on the Customer's assignment, can be withdrawn in accordance with legally binding court judgments and as specified in legislation and/or the contract signed with the Customer.
- 4.30. The individuals authorized to submit orders to the Company, as per the agreement signed with the Customer, also possess the authority to execute transactions on the brokerage account.

## 5. DELIVERING REPORTS TO CUSTOMERS

- 5.1. If the company has fulfilled the Customer's order, it is obligated to provide the Customer with a report detailing the order's execution promptly, and no later than the close of the subsequent business day following the transaction's completion.
- 5.2. The report on the execution of the Customer's Order shall at least include:
  - 5.2.1. Company name,
  - 5.2.2. Customer name, surname (in the case of a legal entity, name) or customer identification number,
  - 5.2.3. The date of conclusion of the transaction, sequentially indicating the day, month, year,
  - 5.2.4. The time of conclusion of the transaction, indicating the hour and minute in sequence,
  - 5.2.5. Type of Order issued by the Customer (market, limit, etc.),
  - 5.2.6. The place of conclusion of the transaction (indicated the name of the stock exchange, the name of another regulated market or "non-regulated market"),
  - 5.2.7. The identification number of the security, the issue number (in case of absence, the name of the issuer and the type of securities, and in the case of a derivative instrument, its description),
  - 5.2.8. The type of transaction specified in the order (buy, sell),
  - 5.2.9. The characteristic of the order, if the type of transaction specified in the order is not buy or sell (subscription of securities, option, repo, reverse repo, etc.),
  - 5.2.10. Number of securities (nominal value in case of volume securities),
  - 5.2.11. The price of the unit (in the case of volume securities is not filled in),
  - 5.2.12. The total volume
  - 5.2.13. The complete sum of commissions or any additional fees applicable, and upon the request of a non-professional Customer, the disclosure of their initiation (for each fee),
  - 5.2.14. The terms and duration for Customer payments, if the Customer was not informed about them in advance (bank account, etc.),
  - 5.2.15. Details regarding the involvement of the Company, any affiliated entities, or another customer of the Company as the counterparty in the transaction, from the customer's perspective. This disclosure is relevant when the transaction did not



occur in a regulated market that guarantees the anonymity of the parties involved.

5.2.16. Date of report submission.

- 5.3. If the Customer's Order is executed in installments, the Company shall submit to the Customer the report on the execution of the Order for each installment separately.
- 5.4. The reports outlined by this rule are delivered to the Customer through in-person hand delivery, and upon the Customer's request, they can be sent via reliable method of information transmission.

## **6. CHARACTERISTICS OF IMPLEMENTATION OF DEALER OPERATIONS**

- 6.1. Proposals for the execution of transactions carried out on behalf and at the expense of the Company (hereinafter - Dealer transaction) are drawn up by a suitably qualified employee of the Company (hereinafter - Dealer) and submitted to the head of the executive body of the Company for approval.
- 6.2. Before making a Dealer transaction, the Dealer makes sure that the necessary funds and securities are available in the relevant accounts of the Company.
- 6.3. After the execution of the dealer transaction, the Dealer submits a report on the execution of the transaction to the head of the executive body of the Company, and transmits information to the accounting department of the Company for the implementation of the accounting formulations arising from the Dealer transaction.

## **7. REGISTRATION OF ORDERS AND TRANSACTIONS**

- 7.1. The Company's brokerage orders and transaction records include relevant information related to all transactions made with Financial Instruments.
- 7.2. The registrations specified in Clause 7.1 of these Regulations must be made immediately after receiving the Orders, but not later than the end of the respective working day.

- 7.3. Records for every customer-placed order must encompass, at a minimum, the subsequent information:
- 7.3.1. customer's name, surname (company name) or customer identification number,
  - 7.3.2. the type of transaction specified in the order issued by the customer (purchase, sale),
  - 7.3.3. the characteristics of the order placed by the customer, if the type of transaction indicated in the order is not buy or sell (order for subscription of securities, order for execution of option, etc.),
  - 7.3.4. the type of order placed by the Customer (market, limit, etc.),
  - 7.3.5. The identification number of the security (in case of absence, the name of the issuer and the type of securities, and in the case of a derivative instrument, its description),
  - 7.3.6. number of securities,
  - 7.3.7. unit price,
  - 7.3.8. total volume,
  - 7.3.9. special instructions given by the customer (if any),
  - 7.3.10. the exact time of receipt of the order given by the customer, sequentially specifying the day, month, year, hour and minute,
  - 7.3.11. name, surname of the interested person registering the order.
- 7.4. Transaction registrations must be carried out in a manner or by means that ensure the fulfillment of the following conditions:
- 7.4.1. Upon the Central Bank's request, these records must be both available and accessible to the Central Bank, with the capability to decipher each stage of every transaction conducted.
  - 7.4.2. If alterations or supplements are made to those records, they must be executed in a manner that allows for the determination of the original content of the records, along with identifying each change or addition.

7.4.3. unauthorized use of these records by third parties must be excluded.

7.5. The company retains records of each order issued by the Customer.

7.6. Records of the executed transaction must be generated immediately after placing the customer's order, and no later than the close of the respective business day.

7.7. Registrations made in accordance with clause 7.6 of this Regulation include the following information:

7.7.1. Customer's name, surname (name) and/or Customer identification number,

7.7.2. The date of conclusion of the transaction, sequentially indicating the day, month and year,

7.7.3. The time of conclusion of the transaction, indicating the hour and minute in sequence,

7.7.4. Transaction type (purchase, sale),

7.7.5. The characteristic of the transaction, if the type of transaction is not buy or sell (subscription of securities, option execution, repo, reverse repo, etc.),

7.7.6. The identification number of the security (in case of absence, the name of the issuer and the type of securities, and in the case of a derivative instrument, its description),

7.7.7. The number of securities (in the case of volume securities, the nominal value),

7.7.8. The price of the unit (in the case of volume securities is not filled in),

7.7.9. The total volume,

7.7.10. The other side of the transaction,

7.7.11. The place of conclusion of the transaction (indicated the name of the stock exchange, the name of another regulated market or "non-regulated market"),

7.7.12. The name and surname of the Interested person performing the transaction.

7.8. In case of repo and reverse repo transactions, in addition to the information specified in clause 7.7 of this Regulation, the following must also be recorded:

7.8.1. Transaction completion date,

7.8.2. Date of modification of the transaction data (if the terms of the previously concluded transaction were changed),

7.8.3. The amount of the direct repo transaction,

7.8.4. The amount of the reverse repo transaction,

7.8.5. The annual repo rate,

7.8.6. Transaction duration in days.

## **8. FEES CHARGED FOR BROKERAGE SERVICES PROVIDED**

8.1. In return for the services provided under the contract, the Company charges the Customer fees in accordance with the rates for the provision of Brokerage services established by the head of the executive body of the Company.

8.2. Tariffs can be unilaterally changed by the Company and come into force 11 days after the date of notification of the change to the Customer and publication on the official website of the Company.

8.3. The Customer is responsible for covering operational costs associated with the execution and/or reporting of the execution of their instructions by the Company. These costs may arise from the necessity for the Company's Agents to finalize transactions involving third parties and ensure the ultimate settlement. This includes fees charged by PCs and/or other intermediaries, excluding standard commissions established by agreements between the Company and Agents, which may vary depending on the PC, type of transaction, volume and other circumstances. Such costs are reflected in the Brokerage Service Rates approved by the Company's Executive Body. The reports submitted to the Customer reflect both the mentioned expenses and the charges and deductions made by the Company for their compensation.

8.4. If the Company is required by law to perform the functions of the Customer's Tax Agent, it collects and remits the relevant taxes payable by the Customer.

8.5. The fees, reimbursements of expenses, interest, penalties and fines specified in these Regulations and the Agreement and the amounts of any other type of financial obligations of the Customer to the Company are authorized by the Company to be

debited from the Funds available in the Customer's Brokerage Account, and the Customer is obliged to ensure the availability of the corresponding Funds in the Brokerage Account. If there are securities on the Customer's account, in order to collect the amounts of the Customer's fees, cost reimbursements, interest, penalties and fines, as well as any other type of financial obligations to the Company, specified in these Regulations and the Agreement, the Company has the right to sell these securities at their market price, using the best efforts in order to realize them under the most favorable conditions. However, the Broker is not responsible in case of disagreement by the Customer regarding the sale price of the securities.

## **9. PROCEDURE FOR PROVIDING LOANS (MARGIN) TO CUSTOMERS FOR PERFORMING SECURITY TRANSACTIONS**

- 9.1. The Company may provide its customers with loans for carrying out transactions in Financial Instruments, in condition that the Company is a party to such transaction.
- 9.2. The company can provide customers with the following types of loans:
- 9.2.1. Short sale of financial instruments, in which case the Customer sells the financial instruments borrowed from the Company through the Company,
  - 9.2.2. Margin purchase of financial instruments, in which case the Customer purchases financial instruments through the Company, borrowing a part of the amount needed for the purchase from the Company.
- 9.3. The minimum amount of the Margin to be set when granting the types of loans mentioned in Clause 9.2 of this Regulation is set at 5 (five) percent.
- 9.4. The specific Margin size for each Financial instrument, as well as the interest rates applied to loans provided in the form of cash and securities, are made available to the Customers on the Company's official website and/or in the software terminal provided by the Company. When the Customer's funds decrease from the Initial Margin limit, the Customer is notified about it. If the Customer's funds fall below the Minimum Margin, the Company has the right to secure the Initial Margin limit by closing the Customer's positions.
- 9.5. Provision of loans to Customers for the implementation of transactions with securities is carried out if the Customer makes a corresponding note in the Agreement.
- 9.6. In special cases, depending on the specifics of execution of the orders transferred by

the Agents from the Company, the Company has the right to carry out foreign exchange conversion and/or conclude short-term foreign exchange swap agreements through the Agent at the Customer's account without the Customer's instruction (automatically) in order to secure funds in the currency necessary for the execution of the Customer's Order.

9.7. In case of conclusion of swap operations specified in Clause 9.6 of these Regulations, the Company shall submit to the Customer a report including the essential terms of that transaction within one working day.

## **10. MEASURES IMPLEMENTED TO PROTECT CUSTOMER FUNDS**

10.1 In order to ensure the protection of customer funds, the following measures are taken in the company:

- 1) Separate accounting: The Company maintains separate accounts for each Customer, as well as for its own and its Customers' Funds,
- 2) Internal audit: The company's internal audit functions include the examination of risks related to the company's operational activities, minimizing the risk of accidental errors and abuses by the company's employees,
- 3) Applying a clear order fulfillment policy
- 4) Implementation of the policy aimed at minimizing conflicts of interest between the Company and its Customers, as well as among the Company's diverse Customers.
- 5) Participation in investor compensation, fund guarantee or other similar schemes (if any).

10.2 The Company has the right to use Customer Funds for its own benefit indefinitely, unless otherwise stipulated in the contract/agreement between the Company and the customer. Nevertheless, the Company undertakes to provide access to these funds to the Customer at the first request of the Customer.

10.3 The company has the right to pledge the Customer's funds indefinitely on its behalf, unless otherwise stipulated in the contract/agreement signed with the Customer. Nevertheless, the Company undertakes to provide access to these funds to the Customer

at the first request of the Customer.

- 10.4 Interest is not accrued on Customer funds used by the Company, unless otherwise provided by the Tariffs or the agreement signed with the Customer.

## **11. ACCOUNTING OF FUNDS, CUSTODIAL AND SETTLEMENT OPERATIONS**

- 11.1. The Funds received as a result of the transactions concluded in connection with the provision of the Customer's Services are credited by the Company to the Customer's Brokerage account in the manner and within the timeline defined in the laws of the Republic of Armenia, other normative legal acts, the rules of the settlement system operator and the operator of the regulated market, this and the Company's Custody Regulations and the Agreement.
- 11.2. For the purpose of separate accounting of the Customer's funds and/or financial instruments used for the purpose of rendering services and received as a result of transactions concluded as a result of such rendering, the Broker opens Brokerage accounts for the Customer (if necessary, in separate currencies).
- 11.3. The Broker records and manages the Customer's funds, managing brokerage accounts in a manner that facilitates the immediate and unequivocal separation of each Customer's funds from those of other Customers, as well as from the Broker's own funds, at any given moment.
- 11.4. The Broker does not pay interest to the Customer for the balance of the brokerage account.
- 11.5. Operations with brokerage accounts are performed on the basis of the Customer's Instructions. The Instruction signifies a directive for executing an operation on the Brokerage account in the specified amount.
- 11.6. Funds are only withdrawn from the Brokerage account without the Customer's Instruction under specific circumstances, such as through legally mandated court orders or in instances outlined by the law or contractual agreements between the Company and the Customer.
- 11.7. The Company has the right, at its discretion, to refuse to deposit Funds into the Brokerage Account if, according to the Company's internal assessment, taking into

account the characteristic and riskiness of the Transactions to be concluded (capable of being concluded) by the Customer using these Funds, the presence of funds in the Brokerage Account may result in significant losses for the Customer and/or the Company.

- 11.8. The Company may keep the Customer's Funds in bank and cash accounting accounts opened by other financial institutions (including foreign ones) in Nameholder or its name, in accordance with the legislation of the Republic of Armenia or other place of conclusion of the Transaction and the agreements concluded accordingly. The Company reserves the right to combine the Customer's funds with those of its other Customers as well as its own funds when concluding Transactions, in any case, maintaining separate accounting of its and the Customer's funds, as well as its various Customers' funds through the proper management of the internal accounting (reflection) system.
- 11.9. The procedures for the execution of custodial and settlement operations necessary for the provision of brokerage services, operations with the Customer's accounts are defined respectively by the Agreement, the Regulations for the provision of custodial services, this regulation and other internal legal acts of the Company.
- 11.10. The Company provides custodial services to Customers who utilize brokerage services without establishing securities accounts for custody service, as outlined in the Regulations on the Provision of Custodial Services. In such instances, all custodial operations are conducted through the Customer's Brokerage account.
- 11.11. The Transaction Instruction given by the Customer to the Company in accordance with these Regulations is also an instruction to perform a corresponding Custodial operation with the Customer's Brokerage accounts, in particular:
- 1) Acceptance/entry of securities into the account,
  - 2) Transfer/withdrawal of securities from the account.
- 11.12. The Company carries out the Final Settlement without receiving additional Instructions from the Customer. The parties agree that the Instruction automatically serves as an Instruction for settlement with the Customer's Funds.
- 11.13. The Customer is obliged to provide the Company with the Funds necessary for the Final Settlement by ensuring the availability of the appropriate Funds in the Customer's account in accordance with these Regulations.



## **12. ORDER EXECUTION POLICY**

- 12.1 The Order Execution Policy of Company is approved by the Company's general meeting of shareholders (if the Company does not have a board).
- 12.2 The Order Execution Policy of the Company includes details regarding the diverse venues and methods employed for executing transactions. It also outlines the factors considered when selecting among these venues for each class of securities.
- 12.3 Before concluding the Agreement, the Company informs the customers about the Order Execution Policy and, by signing the Agreement, the latter give their consent to the application of this policy.
- 12.4 The Company reviews the Order Execution Policy in cases where a substantial modification renders it impractical to execute Customer Orders through the initially selected transaction venue in accordance with this Policy under the best possible conditions for the Customer.

## **13. INFORMATION EXCHANGE AND COMMUNICATION**

- 13.1 Within the framework of the Agreement, the Regulations and the provision of Services, any operations and instructions between the Parties, as well as any information / including messages, documents, notices, extracts, reports / presentation, transfer, exchange, including any communication related to any requirements arising from the Agreement / Regulations or other with questions /all together hereinafter also notification/, is done electronically through the e-mail address provided by the Customer to the Broker and/or "Apricot Capital" CJSC My Account module and in the agreed language, unless otherwise required by the Agreement/Regulations and/ or by publishing on the official website of the Broker.
- 13.2 The Customer shall be considered duly notified when the notice is sent to the e-mail address provided by the Customer and there is an electronic confirmation of its sending, even if there is no confirmation of its reading; in this case, the Customer is considered duly notified from the moment the Company sends the notification, and/or through the My Account module, in which case the Customer is considered duly notified from the day following its sending, and/or by publishing it on the official

website of the Broker, in which case the Customer is considered duly notified from the next day. Moreover, all notifications within the framework of the provision of services provided by the Agreement/Regulations are sent through the Broker's e-mail address: [brokerage@apricotcapital.am](mailto:brokerage@apricotcapital.am) and/or My Account module.

13.3 If the Customer does not provide an email address to the broker and/or the provided email is incomplete or invalid, communication with the Customer is conducted through the My Account module and/or by sending a short message (SMS) notification to the phone number provided by the Customer. The Customer is also informed about the option of receiving such information in person at the broker's office. These notifications will be considered duly received by the Customer, irrespective of whether the Customer actually received the information or not. This acknowledgment holds true even if the Customer did not receive the notification due to an incomplete or disconnected phone number, unavailability for any reason, or the Customer's obligation to update data as per the agreement.

## 14. RESPONSIBILITY

14.1 The parties bear responsibility for the failure or inadequate fulfillment of their obligations under the Agreement and these Regulations in accordance with the procedures outlined by the legislation of the Republic of Armenia.

14.2 The parties are responsible for the reliability of their guarantees and assurances mentioned in Chapter 3 of the Regulations and are obliged to compensate the other party for the damage caused as a result of such guarantees and assurances being untrue. The parties are released from responsibility for non-fulfilment or improper fulfillment of their obligations under this contract, if the reason for this was the non-compliance with the guarantees and assurances mentioned in Chapter 3 of the Regulations of the other party. Each party undertakes to indemnify any damage caused to a third party, if one of the causes of such damage is the non-compliance of the guarantees and assurances mentioned in Chapter 3 of the Regulations.

14.3 The broker holds no responsibility for third parties, including agents (sub-commissioners) participating in transaction processes and individuals chosen as custodians for managing the Customer's funds, as well as in cases of insolvency or

bankruptcy of the counterparty involved in transactions, including their orders for the transfer of securities. The broker is not liable for damages incurred by the Customer due to the non-fulfillment or improper fulfillment of resulting obligations if the broker was unaware and could not have reasonably known about the existence or inevitability of such circumstances when establishing contractual relations with the counterparty.

14.4 The Customer assumes the risk of non-fulfillment or improper fulfillment of obligations by the other party in transactions carried out based on the Customer's instructions. The broker commits to using its best efforts to demand proper performance but explicitly does not provide any guarantee or undertake any obligation in this regard. The parties hereby certify that, taking into account the fact that the execution of Orders takes place outside the Republic of Armenia in regulated markets, mainly through the chain of Agents and successively their sub-agents and by such Agents and sub-agents, that the Broker only transmits/communicates the Customer's Orders to the Agents, who, in turn, may also transfer it to sub-agents in execution, and the Broker has no opportunity to exercise discretion in the selection of such sub-agents under such conditions, the Broker is released from responsibility for non- fulfillment of their obligations by third parties in transactions concluded on the basis of the Instruction. The Parties hereby agree that the Broker shall be deemed not to have shown due diligence only if, having the opportunity to choose/ the Agents executing or transmitting the Orders, he chose such a person whose bankruptcy/insolvency or its inevitability he was aware of when making such a choice.

14.5 The broker will implement the following reasonable measures to identify and/or verify the authority of the Customer or the person acting on the Customer's behalf:

- 1) in the case of an Order submitted by telephone, correspondence of the one-time use code sent to the telephone number and/or e-mail agreed with the Customer in the Contract, in case of impossibility - verification and identification of the Customer's identity in accordance with the internal legal acts of the Broker.
- 2) in the case of an Order submitted in paper form: correspondence of the signature and seal inserted on the Order / sufficient similarity / with the signature and seal of the Customer and/or a person authorized to act on his behalf / if available/,
- 3) in the case of the Order submitted electronically, the correspondence of the e-mail address for sending the Order with the e-mail address specified in the Agreement,

- 4) In the case of an Order submitted through the My Account module, provision of two-step authentication/verification necessary for accessing the My Account system, including matching of username and password.
- 14.6 The Broker is not responsible for the actions or inaction of the TSs, as well as for the non-performance or improper implementation of the final settlement with the Customer's Funds by the parties to the transaction, regardless of its reasons, as well as for technical failures (including in cases when the services are provided to the Customer through the systems and any technical failure of the system occurs), for damage caused to the Customer as a result, if it is not proven that such failures and damage occurred as a result of intentional actions of the Broker.
- 14.7 The Broker is not responsible for the losses suffered by the Customer, which occurred in the event of the actual impossibility of the Broker to execute or transfer the Orders due to any (including circumstances not under the exclusive, full and complete control of the Broker and related to third parties and the external environment) reasons.
- 14.8 In any case, the Broker's liability to the Customer is limited to the cases of willful or obvious negligence, concluding transactions in violation of the Instructions and the failure to fulfill instructions without the presence of the pertinent legal grounds as specified in these terms. The extent of the broker's liability is limited to the actual amount of damage incurred by the Customer.
- 14.9 The Customer undertakes to indemnify the Broker for any damages incurred as a result of the fulfillment of the claims submitted to the Broker by third parties within the framework of the provision of services by the Broker to the Customer in accordance with the Agreement and these Regulations. The Customer is released from liability only if such claims were based on the Broker's actions of the Customer, including unlawful actions not stipulated by the Instructions.
- 14.10 The Parties are released from liability for failure to fully or partially fulfill the obligations stipulated in the Agreement, if it was the result of force majeure, which arose after the conclusion of the Agreement and which the Parties could not foresee or prevent. The Parties are released from liability for failure to fully or partially fulfill the obligations stipulated in the Agreement, if it was the result of force majeure, which arose after the conclusion of the Agreement and which the Parties could not foresee or prevent. In the context of the Agreement, natural disasters, accidents, fires, mass

disturbances, strikes, military operations, legislative and normative acts directly or indirectly prohibiting the types of activities specified in the Agreement and preventing the Parties from fulfilling their obligations under the Agreement, decisions of state bodies entering into legal force are considered to be situations resulting from force majeure.

- 14.11 The Party of the contract, which cannot perform its obligations due to force majeure, is obliged to notify the other Party by means of electronic communication within 3 (three) working days from the moment of occurrence of force majeure and take all necessary actions in order to reduce the losses suffered by the Parties.
- 14.12 In case of non-fulfilment of the condition mentioned in Clause 14.11 of the Regulations, the Parties lose their right to refer to such conditions.
- 14.13 After the elimination of force majeure, the Parties continue to fulfill their obligations under the Agreement/Regulations.

## **15. DISPUTE RESOLUTION AND APPLICABLE LAW**

- 15.1 Disputes arising between the Broker and the Customer in connection with the operation of the Agreement and/or Regulations are subject to resolution by the competent courts of the Republic of Armenia in accordance with the procedure established by the legislation of the Republic of Armenia. The law of the Republic of Armenia applies to the Regulations of the Agreement.

## **16. ENTRY INTO EFFECTIVENESS AND TERMINATION OF REGULATION**

- 16.1. These Regulations, being an integral part of the Agreement, enter into force for the Customer from the moment of signing the Agreement by the latter and remain in effect until its termination on the grounds defined by the Agreement or the legislation of the Republic of Armenia. In all cases, however, the Agreement continues to operate until the full and proper fulfillment of the obligations and responsibilities assumed by the

Parties in the Agreement in terms of said obligations.

- 16.2. The contract can be terminated at the initiative of the Broker and the Customer, provided that the other party is notified at least 10/ten/days in advance.
- 16.3. In case of termination of the contract on any basis, the Broker ensures the closing of the Customer's Brokerage accounts within the terms specified by RA legislation, as well as the return of the Customer's securities and/or funds to the latter by transferring the specified securities and/or funds to the /securities/ accounts specified by the Customer. The Customer is obliged to give the orders/instructions provided for in this point at least 2 /two/ working days before the termination of the Agreement or on other grounds and to pay for the execution of that instruction. In the event that the Customer does not submit an appropriate instruction within the specified time, the securities are subject to sale at their marketable price, from which the amount received is transferred to any of the Customer's current or other bank accounts opened with the Broker, at the discretion of the Broker.
- 16.4. If the Agreement is terminated at the Customer's initiative, the latter is obliged to compensate the Broker for any and all expenses incurred up to the moment of the termination of the Agreement provided for in Clause 8.3 of the Regulations, as well as all expenses related to the actions made necessary as a result of the termination of the Agreement in accordance with Clause 16.3 of the Regulations.

## 17. FINAL PROVISIONS

- 17.1. The information provided to the customer, the information required from the customer, the orders submitted by the customer and the reports submitted to the customers can be may be presented in Armenian. Alternatively, at the customer's preference, these communications can be provided in other languages (English or Russian).
- 17.2. Amendments and additions to these Regulations are approved by the general meeting of the Company's shareholders (if no board has been formed in the Company) and enter into force upon approval by the meeting.
- 17.3. All amendments and additions to these Regulations are an integral part of these Regulations.

- 17.4. Amendments and additions to these Regulations are made unilaterally by the Broker, subject to notification of the change/addition to the Customer after such amendment or addition. For the Customer, this change comes into force on the 11th day following the day of notification of the change and/or publication of the Regulation on the official website of the Broker.
- 17.5. All confirmations, consents, notices, Transactions provided by the Customer through the My Account module by providing two-step authentication/verification required to log into the system, including username and password matching, confirmation by the Customer and/or email specified in the Customer Communication Instruction are considered duly submitted and identified by the Customer, and the approvals, consents, notifications, Transactions and Instructions received in such manner, as well as any other documents /including the Agreement/ have the same legal significance as if they were submitted by hand by the Customer.

Appendix 1  
Instructions for Transaction Execution N

Customer's brokerage account number	
Order receipt time (hour/minute/day/month/year)	
Transaction Type	<input type="checkbox"/> Buy <input type="checkbox"/> Sell
Order Specification for Transactions other than Buy or Sell	<input type="checkbox"/> Securities subscription <input type="checkbox"/> Option execution <input type="checkbox"/> Specify other character
Order type	<input type="checkbox"/> Market <input type="checkbox"/> Limit <input type="checkbox"/> Stop <input type="checkbox"/> Stop Limit
Limit price (currency)	
Stop price (currency)	
The instruction is valid until	<input type="checkbox"/> The end of trading session (DAY) <input type="checkbox"/> Suspension (GTC) <input type="checkbox"/> The specified date (GTD)
The identification number of the security (in the case of a derivative, its description)	
Sock ticker)	
Amount of financial instrument (volume)	
Special instructions (if available)	



<p>The person signing the order:</p> <p>-----</p> <p>(Name, Surname)</p> <p>-----</p> <p>(Signature) Seal</p>	<p>The instruction was accepted by:</p> <p>-----</p> <p>(Name, Surname)</p> <p>-----</p> <p>(Signature)</p>
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